

P/B/O Form PTO 1390

**Transmittal Letter to the United States  
Designated/Elected Office (DO/EO/US)  
Concerning a Filing Under 35 U.S.C. §371**

Attorney's Docket Number  
**TAMA.0003**

U.S. Application Number (if known)  
**10/070313**

International Application Number  
**PCT/JP99/06371**

International Filing Date  
**16 November 1999**

Priority Date Claimed  
**16 November 1999**

**Title of Invention**

**DATA PROCESSING DEVICE AND DATA PROCESSING SYSTEM**

**Applicant(s) for DO/EO/US**

**Masayuki ITO and Yutaka YOSHIDA**

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. §371.
  2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. §371.
  3. ☒ This is an express request to begin national examination procedures (35 U.S.C. §371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
  4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
  5. A copy of the International Application as filed (35 U.S.C. §371(c)(2))
    - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
    - b. ☒ has been communicated by the International Bureau.
    - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
  6. A English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
    - a. ☒ is attached hereto.
    - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
  7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3))
    - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
    - b. ☐ have been communicated by the International Bureau.
    - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
    - d. ☐ have not been made and will not be made.
  8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. §371(c)(3)).
  9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
  10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).
- Items 11 to 20 below concern other document(s) or information included:**
11. ☒ An Information Disclosure Statement under 37 C.F.R. §§1.97 and 1.98.
  12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. §§3.28 and 3.31 is included.
  13. ☒ A **FIRST** preliminary amendment.
  14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
  15. ☐ A substitute specification.
  16. ☐ A change of power of attorney and/or address letter.
  17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825
  18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
  19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
  20. Other items or information:

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|   |                     |   |                               |                                   |                     |
|---|---------------------|---|-------------------------------|-----------------------------------|---------------------|
| Application Number (if known) <b>10/070013</b>  |                     | International Application Number<br><b>PCT/JP99/06371</b> |                               | Docket Number<br><b>TAMA.0003</b> |                     |
|   |                     |   |                               | <b>CALCULATIONS</b>               | <b>PTO USE ONLY</b> |
| <p>21. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p><b>Basic National Fee</b> (37 C.F.R. § 1.492(a)(1)-(5)):<br/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO..... 1,040.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO..... 890.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... 740.00</p> <p>International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... 710.00</p> <p>International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... 100.00</p>  |                     |   |                               |                                   |                     |
| <b>ENTER APPROPRIATE BASIC FEE AMOUNT</b>   |                     |   |                               | <b>\$890.00</b>                   |                     |
| Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. § 1.492(e)).   |                     |   |                               | <b>0.00</b>                       |                     |
| <b>CLAIMS</b>   | <b>NUMBER FILED</b> | <b>NUMBER EXTRA</b>                                       | <b>RATE</b>                   |                                   |                     |
| Total Claims  | 14-20               | 0   | x \$18.00                     | <b>\$0.00</b>                     |                     |
| Independent Claims  | 4-3                 | 1   | x \$84.00                     | <b>\$84.00</b>                    |                     |
| Multiple Dependant Claims (if applicable)   |                     |   | + 280.00                      | <b>\$0.00</b>                     |                     |
| <b>TOTAL OF ABOVE CALCULATIONS</b>  |                     |   |                               | <b>974.00</b>                     |                     |
| <input type="checkbox"/> Entity claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.   |                     |   |                               | <b>0.00</b>                       |                     |
| <b>SUBTOTAL</b>   |                     |   |                               | <b>\$ 974.00</b>                  |                     |
| Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. § 1.492(f)).  |                     |   |                               | <b>\$0.00</b>                     |                     |
| <b>TOTAL NATIONAL FEE</b>   |                     |   |                               | <b>974.00</b>                     |                     |
| Fee for recording the enclosed assignment (37 C.F.R. § 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. §§ 3.28, 3.31). <b>\$40.00</b> per property  |                     |   |                               | <b>\$40.00</b>                    |                     |
| <b>TOTAL FEES ENCLOSED</b>  |                     |   |                               | <b>\$ 1,014.00</b>                |                     |
|   |                     |   | <b>Amount to be Refunded:</b> |                                   | <b>\$</b>           |
|   |                     |   | <b>Charged:</b>               |                                   | <b>\$</b>           |
| <p>a. <input checked="" type="checkbox"/> A check in the amount of <b>\$1,014.00</b> to cover the national fees and assignment recordation fee is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my <b>Deposit Account Number 08-1480</b> in the amount of \$_____ to cover the above fees if not covered by the enclosed check. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to <b>Deposit Account Number 08-1480</b>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. <b>Provide credit card information and authorization on PTO-2038.</b></p> <p><b>Note.:</b> Where an appropriate time limit under 37 C.F.R. § 1.94 or § 1.495 has not been met, a petition to revive (37 C.F.R. § 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p> |                     |   |                               |                                   |                     |

Respectfully submitted

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